

Receipt  
JC18 Rec'd PCT/PTO 31 JAN 2002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: VALENTIN SERGEEVICH ERKHOV (DECEASED) -1 (PCT)

SERIAL NO.: 09/673,686 GROUP: 1645

FILED: JULY 25, 2001

TITLE: METHOD FOR PRODUCING A SPECIFIC ANTISERUM AGAINST THE UNIVERSAL TUMOROUS ANTIGEN AND METHOD FOR DIAGNOSING MALIGNANT TUMOURS USING SAID ANTISERUM

RECEIVED  
MAR 13 2002  
TECH CENTER 1600/2900

REQUEST FOR CORRECTED FILING RECEIPT

ATTN: Office of Initial Patent Examination-Customer Service Center  
Ass't. Commissioner for Patents  
Washington, D.C. 20231

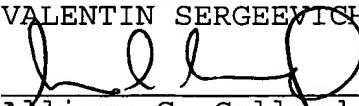
Dear Sir:

Attached is a copy of the official filing receipt received from the Patent and Trademark Office in the above-identified application.

Under "Applicant(s)", please delete the second inventor, as there is only one inventor (the other is his wife and sole heir).

There is only one applicant. This is evidenced by the Declaration, a copy of which is attached. The filing receipt should be corrected and issuance of a corrected filing receipt is respectfully requested. As this error was on the part of the PTO, no fee should be associated with this request.

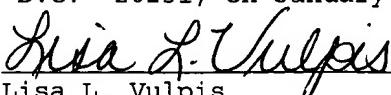
Respectfully submitted,  
VALENTIN SERGEEVICH ERKHOV

  
Alison C. Collard, Reg. No. 22,532  
Edward R. Freedman, Reg. No. 26,048  
Attorneys for Applicant

COLLARD & ROE, P.C.  
1077 Northern Boulevard  
Roslyn, New York 11576  
(516) 365-9802

Enclosure: 1. Copy of Official Filing Receipt  
2. Copy of Declaration

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on January 10, 2002.

  
Lisa L. Vulpis



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/673,686	07/25/2001	1645	500	ERKHOV-1 PCT		4	2



Collard & Roe  
1077 Northern Boulevard  
Roslyn, NY 11576-1696

**CONFIRMATION NO. 2044**

**FILING RECEIPT**



\*OC000000007162453\*

Date Mailed: 12/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Valentin Sergeevich Erkhov, Moscow, RUSSIAN FEDERATION, Deceased;  
Galina Mikhailivna Erkheva, Moscow, RUSSIAN FEDERATION;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A 371 OF PCT/RU98/00143 05/18/1998

**Foreign Applications**

RUSSIAN FEDERATION 98106976 04/20/1998

**RECEIVED**  
MAR 13 2002  
TECH CENTER 1600/2900

**Projected Publication Date:** Not Applicable, filed prior to November 29,2000

**Non-Publication Request:** No

**Early Publication Request:** No

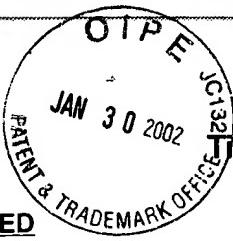
**\*\* SMALL ENTITY \*\***

**Title**

Method for producing a specific antiserum against the universal tumorous antigen and method for diagnosing malignant tumours using said antiserum

**Preliminary Class**

435



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**RECEIVED**  
MAR 13 2002  
TECH CENTER 1600/2900